



**P A T E N T**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: DAVID L. CUTSFORTH

Serial No.: 10/657,512

Examiner: Unknown

Filed: SEPTEMBER 8, 2003

Group Art Unit: Unknown

For: METHOD AND APPARATUS FOR CREATING A GROOVE IN A  
COLLECTOR RING OF AN ELECTRICAL DEVICE

Docket No.: 1099.1103102

**TRANSMITTAL SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 17<sup>th</sup> day of FEBRUARY, 2004.

By

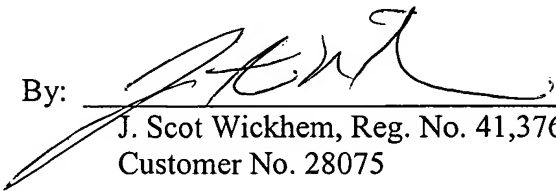
  
J. Scot Wickhem

Dear Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement under 37 C.F.R. §1.97(b)
- ☒ Form PTO-1449 with Three (3) cited references
- ☒ Return Postcard.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:

  
J. Scot Wickhem, Reg. No. 41,376  
Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, Minnesota 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



PATENT

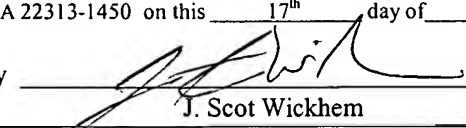
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: DAVID L. CUTSFORTH  
Serial No.: 10/657,512 Examiner: Unknown  
Filed: SEPTEMBER 8, 2003 Group Art Unit: Unknown  
For: METHOD AND APPARATUS FOR CREATING A GROOVE IN A COLLECTOR  
RING OF AN ELECTRICAL DEVICE  
Docket No.: 1099.1103102

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 17<sup>th</sup> day of FEBRUARY, 2004.

By  \_\_\_\_\_  
J. Scot Wickhem

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This application relies benefits, under 35 U.S.C. §120, on the earlier filing date of prior application Serial No. 09/864,446, filed on May 24, 2001, now U.S. Patent No. 6,652,360. The references listed on PTO Form 1449 were previously either submitted by the Applicant or cited by the U.S. Patent and Trademark Office in the above-referenced prior application and, therefore, are not required to be provided on this application under the provisions of 37 C.F.R. §1.98(d) and 37 C.F.R. §1.98(a)2)(i).

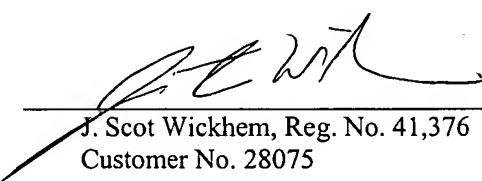
This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merit. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

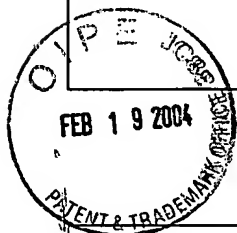
Respectfully submitted,

Date: February 17, 2004

  
J. Scot Wickhem, Reg. No. 41,376  
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<b>FORM PTO-1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>	Atty. Docket No.: 1099.1103102	Serial No.: 10/657,512
<b>IN AN APPLICATION</b> (Use several sheets if necessary)	Applicant: DAVID L. CUTSFORTH	
	Filing Date: SEPTEMBER 8, 2003	Group Art: UKN



### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
	3,636,394	01/1972	Froste et al.		
	3,756,099	09/1973	Sullivan		
	4,587,723	05/1986	Scuro		

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	TRANSLATION	
					YES	NO

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	